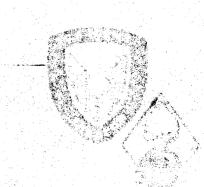
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Metal-oxide-sen ductor (MOS) microelectrophic circuits have long been known to be sensitive to gamma radiation, which causes ionization in the oxide film. In recent years, as the circuits have been reduced more and more in size, they have also been shown to suffer temporary upsets caused by single heavy charged particles in the natural environment. The purpose of this study was to determine the amount of ionization from a heavy charged particle that would escape recombination in a thin

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SiO<sub>2</sub> film. The implications of these findings for the operation of future microelectronic circuits are discussed briefly.

The MOS capacitors were irradiated with 2-MeV a particles or with 700-keV protons. The flatband voltage shift was determined by using the well-known capacitance-voltage (C-V) technique. This voltage shift is proportional to the amount of ionization that escapes recombination in the SiO<sub>2</sub>.

The experimental results agree very well with a modified version of the columnar recombination model first proposed by George Jaffé in 1913. Jaffé developed an approximate analytical solution that is not entirely satisfactory. However, by using a large digital computer, one can solve Jaffé's exact differential equation numerically. If the column radius, b, is taken to be 3.5 nm, the results of a finite difference calculation fit the experimental results very well. At fields of 2 MV/cm, the yield of charge is about 10 percent for incident a particles and about 25 percent for protons.

These results are much less than the yield that one would expect for other forms of radiation. For this reason, one can probably conclude that electronic devices will probably not fail from single particle induced ionization until the devices are much smaller than those now on the drawing boards.

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### 1. INTRODUCTION

In recent years, the effect of  $\alpha$  particles and other ions passing through semiconductors has been a subject of intense interest. In 1978, May and Woods  $^1\star$  showed that so-called soft errors or upsets in microelectronic circuits were sometimes caused by  $\alpha$  particles from radioactive contaminants in the ceramic packaging around the circuit. When such a particle passes through a silicon (Si) chip, it generates a large number of electron-hole pairs. Sometimes enough charge is collected to upset a memory element (that is, to change its state). Since this effect was identified, several other investigators  $^{2-5}$  have studied the effects of other kinds of cosmic rays and other charged particles in semiconductors.

In this study, we consider the effects of heavy charged particles in insulating silicon dioxide (SiO<sub>2</sub>) films rather than in semiconductors. Amorphous SiO<sub>2</sub> is an insulator of great fundamental interest because it has a high dielectric strength and its electronic properties have been studied at fields an order of magnitude or more higher than most other insulators. Thermally grown SiO<sub>2</sub> is also a material of great practical interest because of its role in metal-oxide-semiconductor (MOS) microelectronic circuits and in integrated optics. It has long been known that SiO<sub>2</sub> is extremely sensitive to ionizing radiation, and its response to electron beam and gamma radiation has been studied extensively. References 7 to 11 are a representative but far from complete bibliography. Thus, there are fundamental reasons as well as practical reasons to study the effects of heavy charged particles in thin films of amorphous SiO<sub>2</sub>.

In the work reported here, we conducted a series of experiments in which MOS capacitors were exposed to a beam of ions, and the fraction of charge escaping recombination was determined. Then we adapted the Jaffé columnar recombination model to fit a curve to the experimental results with reasonably good agreement. The model can be used to calculate the recombination for particles and energies different from those used in the experiments. Both the experiments and the model are discussed in detail in this report.

## 2. HISTORICAL BACKGROUND

The problem of ionization of insulators by radiation is among the oldest in modern physics. The theory of ionization and recombination in gases was first based on the assumption of uniform ionization throughout the total volume of the gas. Bragg and Kleeman  $^{12,13}$  were the first to show that for  $\alpha$  particle irradiation, the recombination is much stronger than can be explained by a theory based on uniform ionization. They

<sup>\*</sup>See references in Literature Cited section.

both tried to explain their results in terms of an "initial recombination" model (also now called geminate recombination), which assumed that ions in a pair originating from the same molecule have a strong special tendency to recombine with each other. This geminate recombination model has been further developed by Smoluchowski,  $^{14}$  Onsager,  $^{15}$  and others,  $^{16-19}$  and it has proved useful in treating many problems, although some problems seem to require more complicated analysis.  $^{20-23}$  However, Moulin  $^{24,25}$  showed that it did not apply to  $\alpha$  particles in gases.

Langevin  $^{26,27}$  was the first to realize that the ionization produced by  $\alpha$  particles was in extremely dense columns about the particle track so that the recombination would be much stronger than predicted by a theory based on uniform ionization. Langevin argued that the recombination was governed by the following equation:

$$\frac{\partial n_{\pm}}{\partial t} = -\alpha n_{+} n_{-} \quad , \tag{1}$$

where  $n_{\pm}$  is the density of positive (or negative) charge. He also obtained an analytical expression for the recombination coefficient  $\alpha$ . The results obtained by Moulin were consistent with the Langevin hypothesis.

The Langevin theory was refined by Jaffé in a classic paper in 1913.  $^{28}$  Jaffé added a diffusion term to account for random thermal motion and a drift term to account for the effect of a constant external field to the Langevin equation (1). Thus, the evolution of the columns of charge produced by an  $\alpha$  particle is described by the following differential equation:

$$\frac{\partial n_{\pm}}{\partial t} = D_{\pm} \nabla^2 n_{\pm} \mp \mu_{\pm} E \frac{\partial n_{\pm}}{\partial x} - \alpha n_{+} n_{-} , \qquad (2)$$

where the  $\alpha$  particle travels along the z-axis, D is the diffusion coefficient,  $\mu$  is the mobility of the charged particles, and E is the component of the field in the x-direction. D and  $\mu$  may be different for the positive and negative species. Jaffé was not able to solve this equation exactly, so he neglected the recombination term and solved the rest of the equation, getting the standard diffusion result. Then he reintroduced the effect of the recombination term by letting the total number of charges, N, vary with time, t. By this procedure, he obtained the following approximate expression for the amount of charge escaping recombination:

$$Y = \left[1 + \left(\frac{\pi}{2}\right)^{1/2} \frac{N_0 e}{4\pi \varepsilon \varepsilon_0 b E \sin \theta}\right]^{-1} , \qquad (3)$$

### where

Y is the yield of charge (fraction escaping recombination),

 $N_0$  is the total number of ion pairs per centimeter,

e is the electron charge,

 $\varepsilon$  is the relative dielectric constant of the medium,

 $\varepsilon_0$  is the permittivity of free space,

b is the effective column radius,

E is the total applied field,

 $\theta$  is the angle between the field and the particle track.

Since  $N_0$  and b were not known in 1913, Jaffé treated them as adjustable parameters, and he was able to obtain good fits of equation (3) to his original experiments and also to a later series of experiments.<sup>29,30</sup>

Jaffé did most of his experiments with gases, although he also worked briefly with organic liquids. Gerritsen  $^{31,32}$  did a series of experiments with  $\alpha$  particles passing through liquified gases, and he obtained results that did not agree very well with Jaffé's approximate solution (eq 3). In an effort to explain Gerritsen's results, Kramers  $^{33}$  developed a different approximate solution to Jaffé's differential equation (eq 2). Kramers neglected the diffusion term and obtained an analytical solution to the remaining equation. Then he attempted to reintroduce the effect of the diffusion term. This procedure was not very satisfying since the diffusion correction is unphysical, and the agreement between Kramers' theory and Gerritsen's experiments also was not as good as one could wish.

One can see from the preceding discussion that the ionization produced by  $\alpha$  particles passing through matter is an old problem that has been studied extensively. A great deal has been learned, but significant questions remain even for materials that were studied in the past. For a fundamentally different material such as thermally grown  $\mathrm{SiO}_2$ , very little is known with certainty until experiments are performed.

### 3. EXPERIMENTAL PROCEDURE AND RESULTS

In our experiments, we used dry oxide MOS capacitors on n-type Si prepared by Hughes Aircraft Corp. The samples had an oxide thickness of 135 nm and an electrode area of 0.0032 cm<sup>2</sup> (0.025-in. diameter). The electrodes were thin aluminum (105 nm thick) with bonding pads on the edge for electrical contact. The samples were mounted on TO-5 headers, and electrical contact was made by thermocompression gold wire bond.

The samples were irradiated under positive bias at approximately liquid nitrogen temperature ( $\sim$ 77 K). The samples were mounted in a

copper (Cu) cold finger, which was in contact with a liquid nitrogen reservoir. The temperature was monitored with a Cu-constantan thermocouple.

When an ion or any ionizing radiation passes through the  $\rm SiO_2$  film, it loses energy by creating electron-hole pairs. At liquid nitrogen temperature, the electrons are swept out of the  $\rm SiO_2$  almost instantaneously, but the holes have only a negligible mobility. For example, Hughes has shown that the electrons have a mobility of 20 cm²/V-s at room temperature and 40 cm²/V-s extrapolated to liquid nitrogen temperature. Thus, for fields on the order of  $\rm 10^6$  V/cm and an  $\rm SiO_2$  thickness on the order of  $\rm 10^{-5}$  cm, all the electrons are swept out of the  $\rm SiO_2$  in less than 1 ps both at room temperature and at 77 K.

The holes, on the other hand, have a much lower mobility, and they do not move by a conventional "drift" process. The holes move by a dispersive hopping process, which has been extensively studied  $^{7,34,35}$  and which has been shown to be both temperature dependent  $^{36}$  and field dependent. The interval of the holes is  $10^{-11}$  cm<sup>2</sup>/V-s or less, depending on the applied field. It has been shown that hole transport is negligible at times of 100 s or even more at applied fields of 2 MV/cm or less. At an applied field of 3 MV/cm, the transport is not a large effect, but it is detectable. Thus, the electrons are removed from the SiO<sub>2</sub> "instantaneously," and the holes are frozen in place for times long compared with the experimental times. At room temperature, on the other hand, significant hole transport would occur during the experiment, and the results would be difficult to interpret.

The  $\alpha$  particle and proton irradiations were carried out at the Naval Surface Weapons Center (NSWC) Van de Graaff facility. The  $\alpha$  particles had a kinetic energy of 2 MeV, and the protons had a kinetic energy of 700 keV. In both irradiations, the beam was brought into the test chamber and scattered by a 200-nm Cu foil. The particle flux was determined by using two surface barrier detectors: one was in the sample position (about 6 deg above the beam axis), and the second (monitor) detector was off at a large angle (>45 deg) from the beam axis (fig. 1). The ratio of counts in the two detectors was nearly constant in a series of calibration exposures (table 1).

In principle, the ratio of counts in the two detectors could be calculated from the Rutherford cross section if the angle were measured precisely. But if the sample were raised 0.05 in. (1.27 mm), that is, if the angle were increased less than 0.5 deg, the ratio of counts in the two detectors would be reduced approximately 30 percent. Since our test capacitors were located anywhere on a TO-5 header, that is, not necessarily centered, we could get large variations in particle count at the sample for a constant particle count at the monitor detector. Few

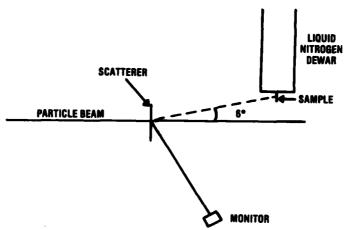


Figure 1. Experimental schematic for  $\alpha$  particle and proton exposures.

TABLE 1. RESULTS OF CALIBRATION EXPOSURES OF  $\alpha$  PARTICLES and PROTONS

Calibration	Exposure	Sample position	Monitor detector	Exposure (s)
Initial,	1	911769	11032	138.2
helium ions,	2	856319	10023	90.4
1-mm aper-	3	858821	9985	49.5
ture	4	850685	10017	50.2
	5	858404	10022	80.2
Sample	1	607824	9995	51.6
raised	2	606287	9988	53.7
1.27 mm	3	598782	10028	51.9
	4	594426	10027	51.2
	5	593954	10025	52.8
Proton,	1	501689	10063	_
1-mm	2	503328	10050	-
aperture	3	497349	10051	_
	4	503061	10073	_
	5	510102	10074	-

things in nuclear physics are as well established as the Rutherford cross section, but it varies so strongly with position at small angles that we could not rely on it to determine the incident particle count at the sample.

The method finally settled on for counting incident particles was to use the sample as its own detector. After the sample had been exposed at positive bias and the flatband voltage shift  $(\Delta V_{FB})$  had been determined, the bias was reversed so that the sample was in depletion. The MOS capacitor was then used like a surface barrier detector with the depletion region in the Si being the sensitive volume. Then the exposure was repeated, and the current pulses in the capacitor were counted by a multichannel spectrum analyzer.

Figure 2 is a schematic diagram of a sample during the particle counting. As an  $\alpha$  particle passes through an MOS capacitor, it creates electron-hole pairs in the  $\mathrm{SiO}_2$  and in the Si substrate. The dashed line represents the depth in the Si of the depletion layer. The free charge in the depletion layer appears as a current pulse, which is counted by the spectrum analyzer. A typical spectrum is shown in figure 3. A background measurement indicated that the low-energy counts (below the marker) were present with the beam blocked off, but only about 1000 counts above the mark were noise.

The aluminum gate electrodes are thin enough that the energy lost by the charged particles is almost negligible. A 2-MeV  $\alpha$  particle passing through 105 nm of aluminum (Al) loses slightly less than 0.04 MeV.<sup>38</sup> For the protons, the energy lost in the gate electrode is even less, 0.005 MeV. Thus, a known number of particles of known energy were incident on the SiO2 film. The amount of charge created could then be calculated very accurately. The amount of charge that escaped recombination was determined by measuring the  $\Delta V_{FB}$  using the well-known capacitance-voltage (C-V) technique.  $^{39}$  Typical C-V curves are shown in figure 4. The irradiation took place at 77 K, and the preirradiation and postirradiation C-V traces were recorded. The average fluence of  $\alpha$ particles and protons on the sample was  $1.6 \times 10^8/\text{cm}^2$  and  $1.06 \times$ 10<sup>8</sup>/cm<sup>2</sup>, respectively. For the very thin SiO<sub>2</sub> (135 nm), the energy loss per unit path length was uniform across the SiO2 for these high energy particles. The calculated total dose in the SiO2 was 3.8 krad (SiO<sub>2</sub>) from the  $\alpha$  particles and 0.54 krad (SiO<sub>2</sub>) from the protons.

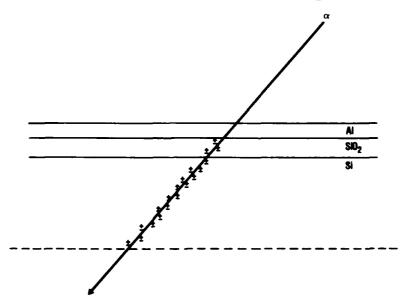


Figure 2. Sample schematic showing metal, SiO<sub>2</sub>, and depletion region of Si substrate.

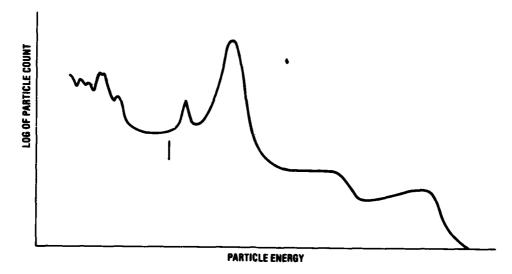


Figure 3. Typical a particle spectrum as recorded by metaloxide-semiconductor capacitor; logarithm of particle count is plotted against particle energy; lowenergy noise (below mark) is subtracted out.

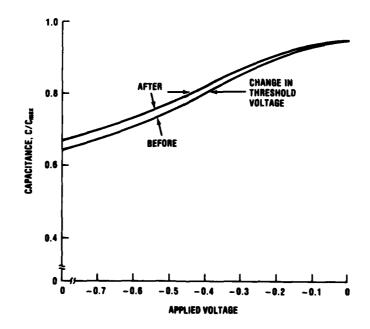


Figure 4. Typical capacitance-voltage curve from this experiment.

The total  $\Delta V_{FB}$  was assumed to be proportional to the average yield of holes that escaped recombination and were immobilized in the  $SiO_2$ . The  $\Delta V_{FB}$  is proportional to the first moment of the charge distributions, and in this case the holes are created and frozen in place uniformly across the  $SiO_2$ . Under these conditions,

$$\Delta V_{FB} = \frac{1}{C_{OX}} \int_{0}^{\ell_{OX}} \rho(x) \frac{x}{\ell_{OX}} dx \qquad (4)$$

reduces to

$$\Delta V_{FB} = \frac{1}{2} \frac{Q}{C_{OX}} , \qquad (5)$$

where

 $C_{OX}$  = oxide capacitance,  $\varepsilon \varepsilon_0 / \ell_{OX}$ ,

 $\varepsilon = 3.85$  for SiO<sub>2</sub>,

 $\varepsilon_0 = 8.85 \times 10^{-14} \text{ f/cm},$ 

lox = oxide thickness,

 $Q = charge, f(E)Q_0,$ 

f(E) = experimentally determined fractional yield of charge surviving recombination,

 $Q_0$  = total amount of positive charge generated by irradiation,

$$Q_0 = \left| \frac{dT}{dx} \right| \frac{\ell_{ox}}{\cos \theta} \frac{1.6 \times 10^{-19} \text{ coulomb/hole}}{18 \text{ eV}} , \qquad (6)$$

where

dT/dx = energy loss per unit path length,

18 eV = electron-hole pair creation energy that has been reported. 40-43

The procedure was to measure the  $\Delta V_{FB}$  as a function of the applied field. The fractional yield was then determined by dividing the measured  $\Delta V_{FB}(E)$  by the calculated maximum  $\Delta V_{FB}$ , assuming no recombination.

Since one would expect the charge yield to depend on the angle between the field and the particle beam, we performed three series of measurements: (1) with  $\alpha$  particles incident at 45 deg; (2) with  $\alpha$  particles as close as possible to normal incidence, 6 deg; and (3) with protons incident at 45 deg (tables 2 to 4; fig. 5 and 6).

TABLE 2. HELIUM ION RESULTS AT 45 DEG

Shot	Sample	Applied field (MV/cm)	Change in threshold voltage (V)	a particle count	Monitor count	Fractional yield
1	118	1.48	0.106	499062	25100	0.087
2	159	1.11	0.069	423646	25534	0.066
3	104	0.89	0.051	363908	25025	0.058
4	153	0.37	0.031	355028	24993	0.036
5	125	0.22	0.026	339447	25108	0.031
6	160	0.15	0.028	469308	25041	0.024
7	137	0.07	0.015	393174	25000	0.016
8	129	0	0.020	410312	25041	0.014
9	112	0.37	0.033	370939	25081	0.036
10	30	0.52	0.045	349000	24822	0.055
11	130	0.74	0.047	349000	24822	0.055
12	152	2.22	0.055	182605	25049	0.113
13	135	1.85	0.098	418907	25052	0.096

TABLE 3. HELIUM ION RESULTS AT 6 DEG

Shot	Sample	Applied field (MV/cm)	Change in threshold voltage (V)	a particle count	Monitor count	Fractional yield
1	132	1.48	0.083	735248	25074	0.065
2	146	0.74	0.051	684609	25055	0.041
3	113	0.37	0.024	589315	25034	0.024
4	161	1.11	0.057	499087	25062	0.050
5	102	1.85	0.094	498744	25126	0.077
6	96	2.22	0.102	644746	25083	0.091
7	126	2.59	0.071	520040	25072	0.095
8	101	2.96	0.114	666302	25084	0.098
9	140	0.15	0.029	574529	25033	0.020

TABLE 4. PROTON RESULTS AT 45 DEG

Shot	Sample	Applied field (MV/cm)	Change in threshold voltage (V)	Particle count	Monitor count	Practional yield
1	20	1.48	0.035	356659	25054	0.310
2	104	0.74	0.026	389967	250 <b>79</b>	0.211
3	138	1.11	0.037	373653	25088	0.290
4	51	0.37	0.039	742379	25059	0.147
5	16	0.15	0.016	380000	25079	0.113
6	54	2.22	0.038	289219	25069	0.374
7	142	1.85	0.039	312000	25116	0.351
8	156	2.96	0.057	342204	25077	0.465
9	44	2.59	0.043	270247	25051	0.439

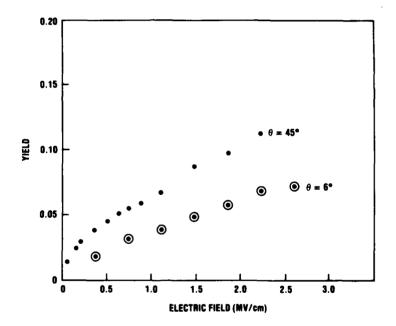


Figure 5. Experimental results for  $\alpha$  particles incident at 45 and 6 deg between field and particle track (0).

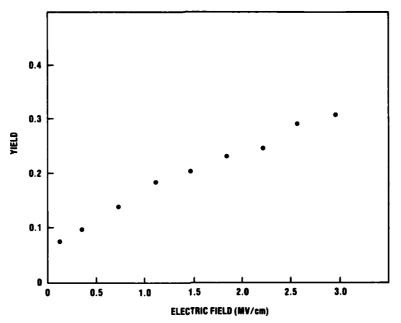


Figure 6. Experimental results for protons incident at 45 deg.

One can see from figures 5 and 6 that the fraction of charge escaping recombination is only a small fraction of the total charge generated by a highly ionizing particle. For comparison, we show in figure 7 the results of these experiments along with previously published results for electrons.39,40 For high-energy electrons, the yield close to 100 percent at fields above ~2 MV/cm; but for  $\alpha$  particles the yield is on the order of 10 percent at 2 MV/cm, and for protons the yield is ~25 percent at 2 MV/cm. The results in figure 7 show dramatically the effect of recombination along the track of a heavy ion.

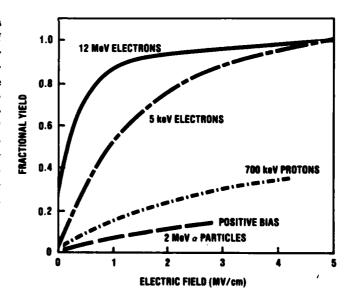


Figure 7. Comparison of  $\alpha$  particle and proton results with previously published results for electrons.

### 4. ANALYSIS

This section discusses the physical model that describes the experimental results. In particular, we detail the Jaffé model  $^{28}$  as originally developed and as applied here.

Jaffé began by assuming that the charge distribution produced by an  $\alpha$  particle is uniform along the z-axis, that is, along the particle track. The initial density of free charge about the axis is assumed to be Gaussian and given by

$$n_{\pm}(r,o) = \frac{N_0}{\pi b^2} e^{-r^2/b^2} , \qquad (7)$$

where  $N_0$  is the total number of electron-hole pairs produced per unit path length and b is the column radius.

Then Jaffé treated the case in which no external field is present and the evolution of the charge distributions is given by

$$\frac{\partial n_{\pm}}{\partial t} = D\nabla^2 n_{\pm} - \alpha n_{\pm} n_{\pm} , \qquad (8)$$

where the first term on the right is the diffusion term and the second term is the recombination term. Jaffé chose to develop an approximate solution to equation (8) by neglecting the recombination term and solving the diffusion equation first. He obtained the standard result

$$n_{\pm}(r,t) = \frac{N_0}{\pi(4Dt + b^2)} e^{-r^2/(4Dt+b^2)}$$
 (9)

Then he allowed for the effect of recombination by replacing  $N_0$  with N(t) in equation (9) and substituting equation (9) into equation (8). The result was an expression for dN(t)/dt, which could be integrated to obtain the result

$$N(t) = \frac{N_0}{1 + \frac{\alpha N_0}{8\pi D} \ln \frac{4Dt + b^2}{b^2}}$$
 (10)

or

$$n_{\pm}(n,t) = \frac{N_0}{1 + \frac{\alpha N_0}{8\pi D} \ln \frac{4Dt + b^2}{b^2}} \frac{e^{-r^2/(4Dt + b^2)}}{\pi (4Dt + b^2)} .$$
 (11)

Next, Jaffé calculated the fraction of charge diffusing outside a radius, R (comparable to the separation between columns), which was considered to have escaped recombination at any time, t. This calculation was performed by integrating equation (11) over space and time with the fraction of charge surviving at infinite time,

$$\frac{N_1}{N_0} = -\int_{\xi_0}^0 \frac{e^{-\xi} d\xi}{1 + \frac{\alpha N_0}{8\pi D} \ln \frac{\xi_0}{\xi}} , \qquad (12)$$

where

$$\xi = \frac{R^2}{4Dt + b^2},$$

$$\xi_0 = \frac{R^2}{b^2},$$

$$d\xi = \frac{-4DR^2}{(4Dt + b^2)^2} dt.$$

Since R is generally large compared with b, it is sufficient to evaluate the integrand at the upper limit, t  $\rightarrow \infty$ . The integrand in equation (12) is plotted in figure 8, and the integral can be evaluated numerically. The yield for 2-MeV  $\alpha$  particles in SiO<sub>2</sub> is less than 1 part in  $10^3$ .

The zero field case corresponds to two cylinders of charge, one positive and one negative, spreading out under the influence of diffusion and undergoing recombination at the same time. Next, Jaffé considered these same cylinders in the presence of an external electric field. The basic assumptions here are that (1) the charge densities are sufficiently small that the electron-hole interactions are small compared with interactions with the field, that is,  $e^2/\langle r^ij\rangle << eE\lambda$ , and (2) screening is negligible (b <  $\lambda_{\mbox{Debye}}$ ). The first of those conditions is not really satisfied until considerable recombination has taken place, so one would expect the theory to make reasonable predictions only at late time.

First, Jaffé considered the case where the field is parallel to the column axis and the cylinders move as indicated schematically in figure 9. If field E is applied between the plates of a capacitor with separation L, then the time for the cylinders to move past each other is given by  $T = L/2\mu E$ . (2 $\mu$  is replaced by  $\mu_+ + \mu_-$  if the positive and negative charges have different mobilities.) The region where the cylinders overlap is described by the zero field calculation stated

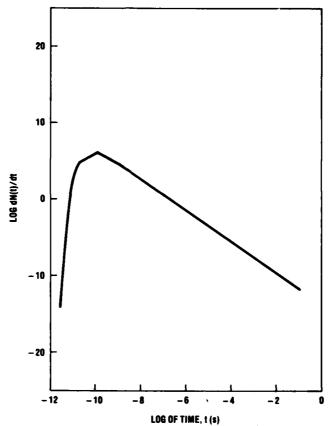


Figure 8. Jaffé's calculation for rate at which ions escape recombination in absence of applied field for SiO<sub>2</sub> (yield = 1.49 × 10<sup>-4</sup>).

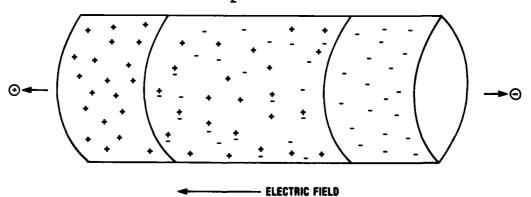


Figure 9. Schematic of two cylinders of charge moving past each other as in Jaffé's calculation for field parallel to particle track.

above, but no further recombination takes place in the parts of the cylinders where the positive and negative distributions no longer overlap. The number of charges of each sign that escape from the volume of overlap in time increment dt is  $2\mu EN(t)$  dt, and the total number of free charges that escape recombination is given by

$$N_2 = 2\mu E N_0 \int_0^T \frac{dt}{1 + \frac{\alpha N_0}{8\pi D} \ln \frac{4Dt + b^2}{b^2}}$$
 (13)

Using the logarithmic integral,

$$li(x) = \int_0^x \frac{dt}{\ln t} ,$$

tabulated by Jahnke and Emde,  $^{44}$  one can compute the fractional yield,  $Y = N_2/N_0L$ , which can be shown to be

$$Y = \frac{\mu}{2D} E \frac{b^2}{L} Y_1 e^{-Y_1} (1i e^{Y_2} - 1i e^{Y_1})$$
, (14)

where

$$y_{1} = \frac{8\pi D}{N_{0}\alpha},$$

$$y_{2} = \frac{8\pi D}{\alpha N_{0}} + \ln \frac{4DT + b^{2}}{b^{2}}.$$

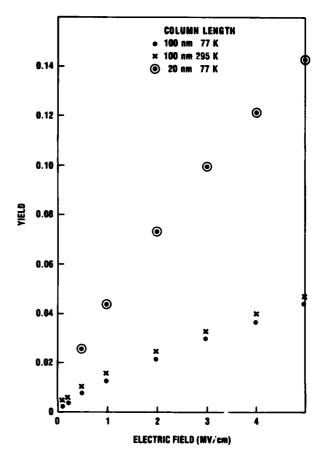
Evaluating equation (14) for  $SiO_2$  leads to the results plotted in figure 10 for two values of L.

Second, Jaffé considered the case where the field is perpendicular to the particle track as indicated schematically in figure 11. He solved the following differential equation by first neglecting the recombination term:

$$\frac{\partial n_{\pm}}{\partial t} = D_{\pm} \left( \frac{\partial^2 n_{\pm}}{\partial x} + \frac{\partial^2 n_{\pm}}{\partial y^2} \right) \mp \mu_{\pm} E \frac{\partial n_{\pm}}{\partial x} - \alpha n_{+} n_{-} . \tag{15}$$



Yield calculated for normally incident  $\alpha$  particle using Jaffé's procedure for SiO<sub>2</sub>.



The particle track is here taken to be along the z-axis, and the field is taken to be along the x-axis. When this equation is solved without the recombination term, one obtains the result

$$n_{\pm} = \frac{N}{\pi (4Dt + b^2)} \exp \left[ -\frac{(x \mp \mu Et)^2 + y^2}{4Dt + b^2} \right],$$
 (16)

where  $r^2$  has been replaced with  $x^2 + y^2$ . Equation (16) is the standard diffusion result for two cylinders of charge spreading through diffusion and being pulled apart as shown in figure 12. Then Jaffé substituted equation (16) into equation (15), letting N be a function of t (only), and obtained the result

$$N(t') = \frac{N_0}{1 + \frac{\alpha N_0}{2\pi} \int_0^{t'} \frac{\exp\left(-\frac{2\mu^2 E^2 t^2}{4Dt + b^2}\right)}{4Dt + b^2} dt}$$
 (17)

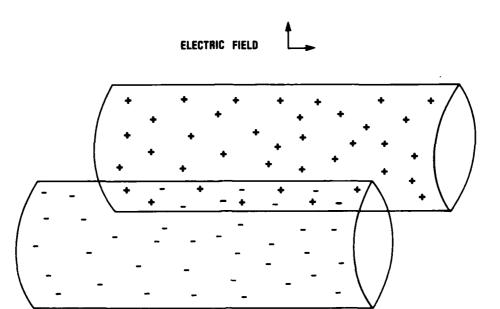


Figure 11. Schematic of two cylinders of charge moving under influence of normal field and parallel field (that is, arbitrary angle of incidence).

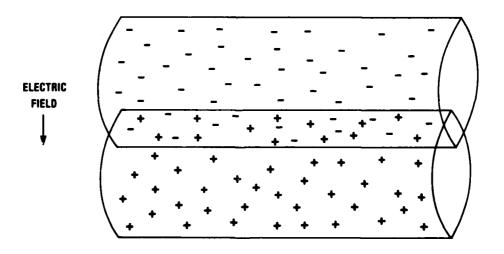


Figure 12. Schematic of two cylinders of charge moving under influence of normal field.

If equation (17) is substituted into equation (16), one obtains the approximate solution to equation (15). To get the yield of charge, one has to perform the integration in equation (17) from t=0 to  $t=\infty$ , with the following result:

$$Y(E) = \frac{N_{\infty}}{N_0} = \frac{1}{1 + \frac{\alpha N_0}{8\pi D} (\frac{\pi}{z})^{1/2} S(z)},$$
 (18)

where

$$S(z) = \left(\frac{z}{\pi}\right)^{1/2} e^{z/2} \left(\frac{i\pi}{2}\right) H_0^{(1)} \left(\frac{iz}{2}\right) , \qquad (19)$$

$$z = \frac{b^2 \mu^2 E^2}{2 p^2} .$$

Here,  $H_0^{(1)}$  is the Hankel function of the first kind.

Third, Jaffé treats the case of arbitrary angle between field and particle based on the two special cases already considered (fig. 13). If the angle between the field and the particle track is taken to be  $\theta$ , then the time for the cylinders to move past each other is

$$T' = L/2\mu E \cos \theta$$
,

which is analogous to the parallel field case. The number of charges escaping recombination is

$$N_3 = 2\mu E \cos \theta \int_0^{T^*} N(t) dt$$

as before, where E has been replaced by the relevant component, E  $\cos\,\theta$ . The fractional yield is given by

$$Y(E) = \frac{N_3}{LN_0}$$

$$= \frac{2\mu E \cos \theta}{L} \int_0^{T'} \frac{dt'}{1 + \frac{\alpha N_0}{2\pi} \int_0^{t'} \frac{\exp\left(-\frac{2\mu^2 E^2 \sin^2 \theta t^2}{4Dt + b^2}\right)}{4Dt + b^2} dt}$$
(20)

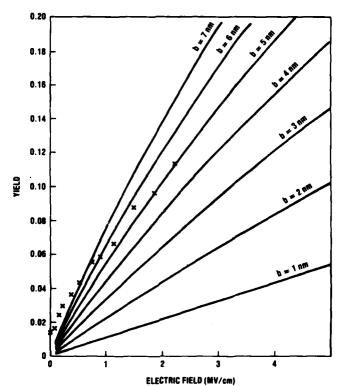


Figure 13. Experimental results for α particles at 45 deg compared with Jaffé's approximate solution, where column radius, b, is taken to be adjustable.

In equation (20), one can recognize equation (17) with field E replaced by the normal component, E sin  $\theta$ . Equation (20) can be evaluated with the result

$$Y(E) \simeq \frac{1}{1 + \frac{\alpha N_0}{8\pi D} (\frac{\pi}{z^T})^{1/2} S(z^*)}$$
, (21)

where  $z' = (b^2 \mu^2 E^2 \sin^2 \theta)/2D^2$ . Equation (21) is only approximately equal to equation (20) because an end contribution has been neglected, but Jaffé argues that it is small in practice. For fields of practical interest in SiO<sub>2</sub> (that is, high fields), one can use the asymptotic expansion  $iH_0^{(1)}(iz) \cong e^{-z}/(\pi x/2)^{1/2}$  and get

$$Y(E) = \left\{1 + \frac{\alpha N_0}{8\pi D} \left[ \left(\frac{\pi}{2}\right)^{1/2} \frac{2D}{b\mu E \sin \theta} \right] \right\}^{-1} \qquad (22)$$

The recombination coefficient  $\alpha$  was determined by Langevin<sup>26</sup> to be

$$\alpha = \mu e/\epsilon \epsilon_0$$
 ,

and equation (22) becomes

$$Y(E) = \left[1 + \left(\frac{\pi}{2}\right)^{1/2} \frac{N_0 e}{4\pi\varepsilon\varepsilon_0 bE \sin \theta}\right]^{-1} , \qquad (23)$$

which is identical with equation (3). Jaffé was able to explain his experiments adequately with this model, treating both N<sub>0</sub> and b as adjustable parameters.  $^{25-27}$  However, N<sub>0</sub> can be obtained independently by using the Bethe theory  $^{45,46}$  or (recently) Ziegler's compilation,  $^{38}$  so a modern researcher has only one free parameter, the column radius, in applying this model. In figure 13, we replot our experimental results for  $\alpha$  particles along with the Jaffé solution for several values of b. One can see that the agreement between the Jaffé theory and the

experiment is qualitative at best. The curves do not have the same shapes, but for a narrow range of E values one could pick a value of b that would give approximately the correct yield.

In measuring the yield of charge in liquified gases, Gerritsen encountered similar problems.31,32 That is, the Jaffé model fitted his results only roughly. Kramers, 33 a colleague of Gerritsen, proposed a modification of the Jaffé treatment to try to explain Gerritsen's results. Kramers first neglected the diffusion term in equation (15) (or eq 2) and then by a complicated procedure obtained an analytical solution to the remaining equation. result is plotted in figure 14 along with the Jaffé solution for comparison. In figure 14, the fractional yield as a function plotted reduced field,  $f = F/F_0$ , where F is the applied field and

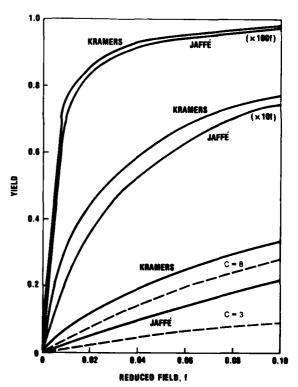


Figure 14. Kramers' approximate solution compared with Jaffé's expression.

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 $F_{\rm O}$  is a reference field. When Kramers reintroduced the effect of the diffusion term, he was left with an arbitrary integration constant, C, which has no physical meaning. The dashed lines in figure 14 are the fractional yield for two selected values of this constant C. One can see from figure 14 that the yield is rather sensitive to the value chosen for this parameter.

This situation is extremely unsatisfying. It is one thing to estimate a physical quantity such as the column radius, which is unknown but which can, in principle, be determined independently. It is another thing to pluck out of thin air a constant that has no meaning and that cannot be checked, but that nevertheless is critical. Thus, we do not use Kramers' result. But we should note in passing that even with the diffusion "fudge factor," the Kramers' theory did not fit Gerritsen's experiments well. In the end, Gerritsen had to assume that the column radius took on different values at high fields and at low fields to get reasonable agreement. This assumption is best greeted skeptically.

The value of Kramers' discussion is that he points out that, at least at low temperatures, the recombination term in equation (15) (or eq 2) is by far the largest term, with the drift term next and the diffusion term smallest. But rather than neglecting a term to simplify the analysis, one can use a large digital computer to solve the exact equation numerically. Today, even a pedestrian researcher can perform analysis unimaginable to either Jaffé or Kramers before the age of microelectronics.

The approach here is to use the initial Gaussian distribution postulated by Jaffé (eq 7) and to calculate the distribution of charges using a two-dimensional finite difference code and equation (15). The results can be generalized to three dimensions by a process similar to that used to get from equation (18) to equation (21).

The code written for this analysis calculates the initial density of charge at each point in a two-dimensional Cartesian grid with a maximum of 200 x-values and 100 y-values. The particle is assumed to travel along the z-axis, and the field is assumed to be along the x-axis. (Since the problem is symmetric in y, it serves no purpose to grind out the calculation in the lower half plane.) The grid spacing is variable in principle but, for all the calculations reported here, the points were 0.5 nm apart. Since the calculation is two dimensional, it describes only the region of overlap in figure 11, and only the normal component of the field enters the problem. Then a procedure similar to Jaffé's is necessary to generalize the result to arbitrary angles. That is, one can follow the procedure leading to equation (13), except that the integral is evaluated numerically.

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The code calculates the positive and negative charge densities from equation (7) for each point in the grid at t = zero. Then it calculates

$$\nabla^{2} n_{\pm}(i,j) = \frac{n_{\pm}(i+1,j) + n_{\pm}(i-1,j) + n_{\pm}(i,j+1)}{h^{2}} + \frac{n_{\pm}(i,j-1) - 4n_{\pm}(i,j)}{h^{2}},$$
(24)

where i is the x index, j is the y index, and h is the grid spacing. Then the code calculates  $\partial n/\partial x$  at each point from the relation

$$\frac{\partial n_{\pm}(i,j)}{\partial x} = \frac{n_{\pm}(i+1,j) - n_{\pm}(i-1,j)}{2h} . \tag{25}$$

The derivatives  $\partial n_{\pm}/\partial t$  are calculated at each point by using equation (15). Then the charge densities are updated according to the relation

$$n_{\pm}(i,j,t + \Delta t) = n_{\pm}(i,j,t) + \frac{\partial n_{\pm}(i,j,t)}{\partial t} \Delta t , \qquad (26)$$

where  $\Delta t$  has to be chosen with some care to keep the problem from becoming unstable. After the new densities have been calculated, an integration routine adds up the surviving charge, and N(t) is printed out. In addition,  $\int_0^t N(t') \ dt'$  is calculated after each cycle so that the problem can be generalized to arbitrary angles of incidence. Then the process is repeated by using the new densities and usually a recalculated time step.

This code is extremely simple in that it consists of perhaps 150 FORTRAN statements. However, it requires large amounts of memory and processing time. The grid 200 by 100 means 20,000 grid locations and there are eight variables  $(n_+, n_-, \nabla^2 n_+, \nabla^2 n_-, \partial n_+/\partial x, \partial n_-/\partial x, \partial n_-/\partial t)$  and  $\partial n_-/\partial t)$  calculated at each point. Thus, there are ~160,000 variables calculated per cycle for several hundred cycles. On an IBM System/370 Model 168 computer, this code requires about 1.4 Mbytes and perhaps 10 to 15 min of run time. (For a complete source listing, see appendix A.)

In the calculations presented here, the following constants were used:

```
N<sub>0</sub> = 1.33 × 10<sup>8</sup> cm<sup>-1</sup> for α particles and 2.97 × 10<sup>7</sup> cm<sup>-1</sup> for protons,

μ<sub>+</sub> = 10<sup>-5</sup> cm<sup>2</sup>/V-s,

μ<sub>-</sub> = 40 cm<sup>2</sup>/V-s,

α = (μ<sub>+</sub> + μ<sub>-</sub>)e/εε<sub>0</sub> = 1.88 × 10<sup>-5</sup> cm<sup>3</sup>/s,

D<sub>+</sub> = μ<sub>+</sub>kT/e = 6.5 × 10<sup>-8</sup> cm<sup>2</sup>/s,

D<sub>-</sub> = μ<sub>-</sub>kT/e = 0.261 cm<sup>2</sup>/s,

b = 3.5 nm, free parameter chosen to produce agreement with
```

experiment.

The distribution of charges at t=0 is shown in figure 15. In figures 16 to 21, the distributions are shown for  $t=10^{-15}$ ,  $10^{-14}$ ,  $3\times 10^{-14}$ ,  $10^{-13}$ , and  $3\times 10^{-13}$  s for a perpendicular field of  $10^6$  V/cm. One can see that the positive charges do not move, and most of the charge recombines before the field separates the negative charges from them. For a much smaller field,  $E=10^4$  V/cm, the distribution at  $t=3\times 10^{-13}$  s is shown in figure 22. One can see that diffusion of the negative charges is important because the distribution has spread out. The field has moved the negative charges only slightly to the left, but the recombination has eaten away both charge distributions in the region where they still overlap. Figures 23 to 30 show the charge distributions after they have been separated or nearly separated at different fields for incident  $\alpha$  particles. One can see from the figures how the yield decreases with decreasing field.

These results are summarized in figure 31, in which the solid line joins the calculated yield values for  $\alpha$  particles incident at 45 deg and the experimental points are shown for comparison. One can see that the agreement between theory and experiment is very good if one assumes a column radius of 3.5 nm, especially at high fields. At low fields, the measured yield is slightly higher than calculated, however.

This difference at low fields is probably due to the form of the diffusion coefficient that was used. D was calculated from the relation

$$\frac{D}{\mu} = \frac{kT}{e} \quad , \tag{27}$$

where  $\mu$  was measured. This relation is valid only for relatively dilute solutions. In this case, the maximum ionization density at t=0 is extremely high, between  $10^{20}$  and  $10^{21}$  charges/cm³. Thus, some form of concentration dependent diffusion model $^{47}$  or a hot electron model probably is appropriate. Such a model would increase the diffusion term and the yield at low fields where diffusion is already most significant. Crank suggests no less than 10 examples of concentration dependent models that one might choose. There is no physical reason for preferring one of them over another, but they all would produce a correction in the right direction here.

In figure 32, the results of the calculation are compared with the measurements for  $\alpha$  particles incident at 6 deg. In the exposures at 6 deg, the normal component of the field is only about 1/10 of the parallel component. For this reason, the cylinders move apart more slowly than they move past each other, and there is a high recombination rate for a relatively long time, at least where the charge distributions still overlap. In this case, the effects at the end of the column of charge have to be specifically accounted for because most of the positive charge that escapes recombination is near the Si-SiO, interface.

N(t) for a total field of 0.94 MV/cm is plotted on a log t scale (fig. 33) and on a linear t scale (fig. 34). The time scale can be converted to a position scale if one multiplies by  $\mu E_{\mbox{\footnotesize parallel}}$ . The charge near the Si-SiO2 interface contributes more to the observed threshold voltage shift. This effect can easily be accounted for, and the experimental results in figure 32 have been corrected accordingly. Even so, one can see that the measured yield is still higher than the calculated yield. The probable explanation is that some form of concentration dependent diffusion is again appropriate.

The results of the proton calculation are compared with the results of the experiment in figure 35 for an assumed column radius of 3.5 nm. Once again, the agreement is reasonably good. The results of all the calculations are summarized in table 5, in which  $T = (L/\cos\theta)/\mu_E$  and the yield is  $(1/N_0T)\int_0^T N(t) dt$ .

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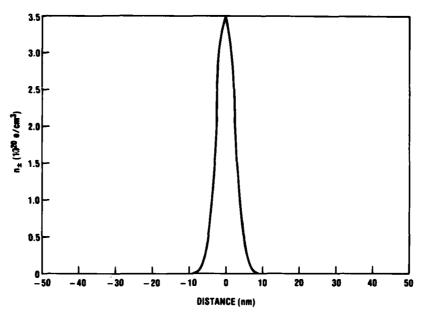


Figure 15. Initial (time = 0) distribution of both positive and negative charges in finite difference solution to "exact" Jaffé equation for perpendicular field =  $10^6$  V/cm.

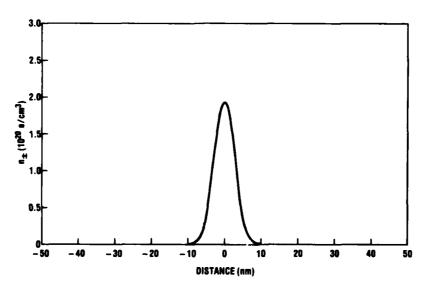


Figure 16. Positive and negative charge densities at time =  $10^{-16}$  s in finite difference calculation for perpendicular field =  $10^6$  V/cm.

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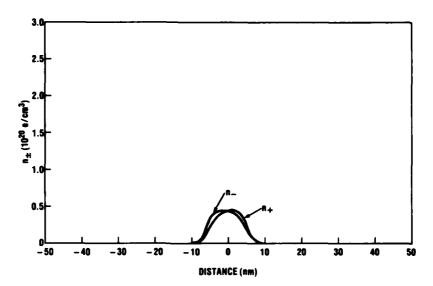


Figure 17. Positive and negative charge densities at time =  $10^{-15}$  s in finite difference calculation for perpendicular field =  $10^6$  V/cm.

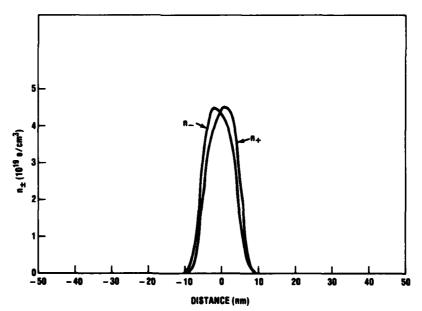


Figure 18. Positive and negative charge densities at time =  $10^{-15}$  s in finite difference calculation for perpendicular field =  $10^6$  V/cm (fig. 17 replotted on expanded scale).

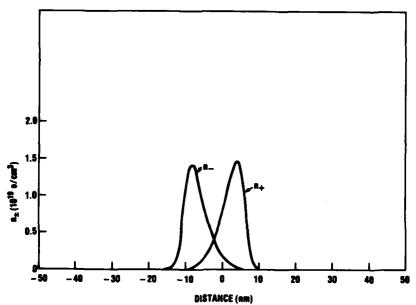


Figure 19. Positive and negative charge densities at time =  $10^{-14}$  s in finite difference calculation for perpendicular field =  $10^6$  V/cm.

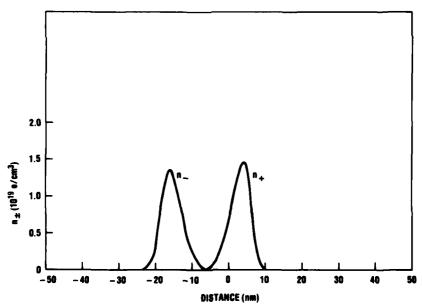


Figure 20. Positive and negative charge densities at time =  $3 \times 10^{-14}$  s for perpendicular field =  $10^6$  V/cm in finite difference calculation.

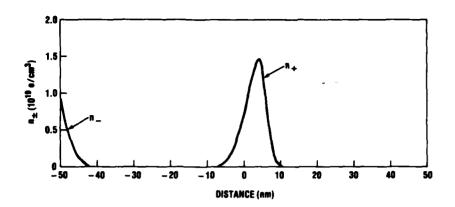


Figure 21. Positive and negative charge densities at time =  $10^{-13}$  s for perpendicular field =  $10^6$  V/cm in finite difference calculation.

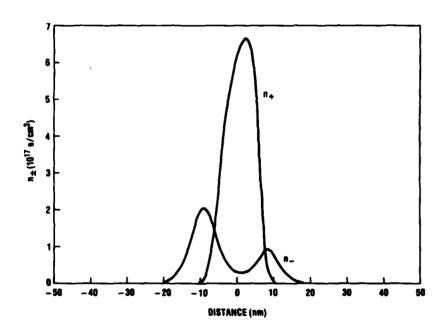


Figure 22. Positive and negative charge densities at time =  $3 \times 10^{-13}$  s for perpendicular field =  $10^4$  V/cm in finite difference calculation (shows importance of diffusion at low fields).

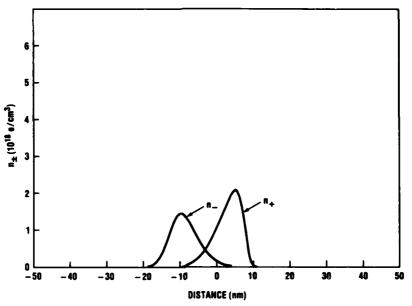


Figure 23. Positive and negative charge densities at time =  $10^{-13}$  s for perpendicular field =  $10^5$  V/cm.

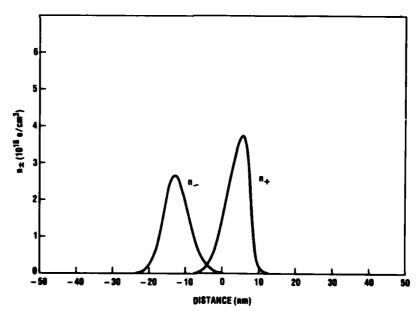


Figure 24. Positive and negative charge distributions at time =  $10^{-13}$  s for perpendicular field =  $2 \times 10^5$  V/cm.

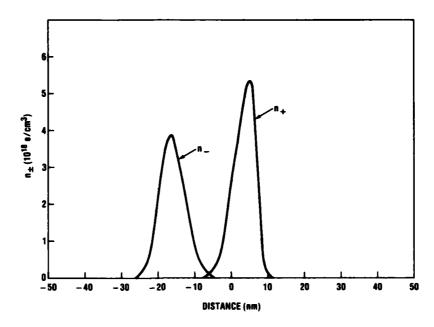


Figure 25. Positive and negative charge densities at time =  $10^{-13}$  s for perpendicular field =  $3 \times 10^5$  V/cm.

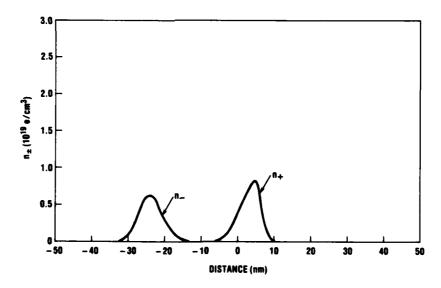


Figure 26. Positive and negative charge distributions at time =  $10^{-13}$  s for perpendicular field =  $5 \times 10^5$  V/cm.

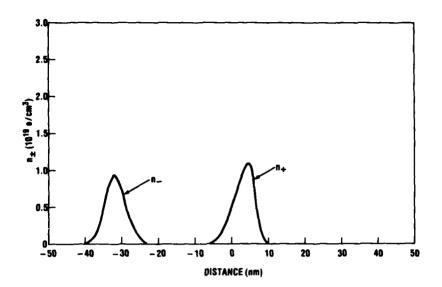


Figure 27. Positive and negative charge distributions at time =  $10^{-13}$  s for perpendicular field =  $7 \times 10^5$  V/cm.

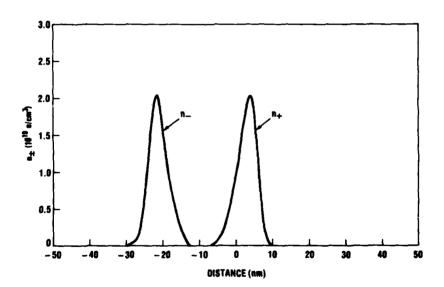


Figure 28. Positive and negative charge distributions at time =  $3 \times 10^{-14}$  s for perpendicular field =  $1.5 \times 10^6$  V/cm.

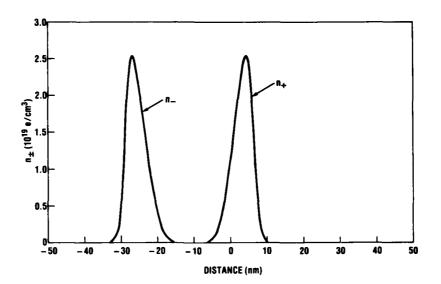


Figure 29. Positive and negative charge distributions at time =  $3 \times 10^{-14}$  s for perpendicular field =  $2 \times 10^6$  V/cm.

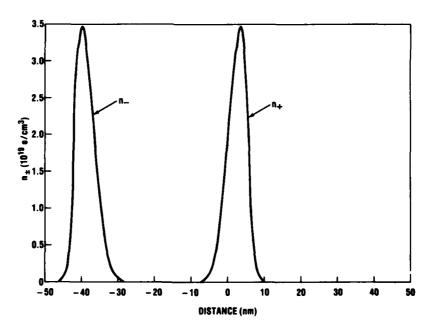


Figure 30. Positive and negative charge distributions at time =  $3 \times 10^{-14}$  s for perpendicular field =  $3 \times 10^6$  V/cm.

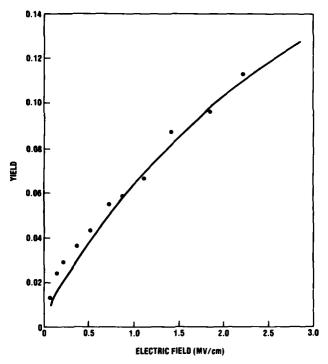


Figure 31. Experimental results for a particles at 45 deg (dots) repeated along with results of finite difference calculation (solid line).

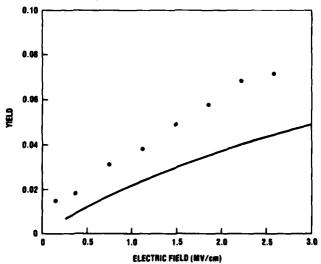


Figure 32. Experimental results for a particles at 6 deg (dots) compared with results of finite difference calculation (solid line).

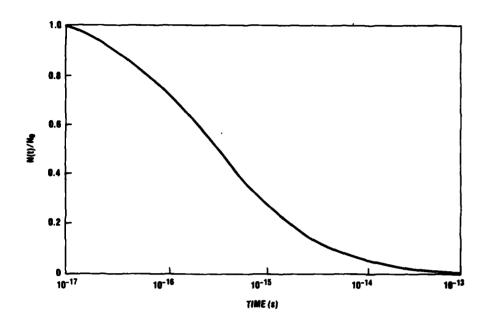


Figure 33. Fraction of charge surviving at time t for perpendicular field =  $10^5$  V/cm.

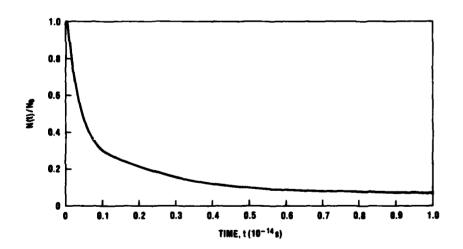


Figure 34. Fraction of charge surviving at time t for perpendicular field =  $10^5$  V/cm (fig. 33 replotted on linear scale).

Figure 35.

Comparison of proton experimental results with results of finite difference calculation for column radius, b, = 3 and 3.5 nm.

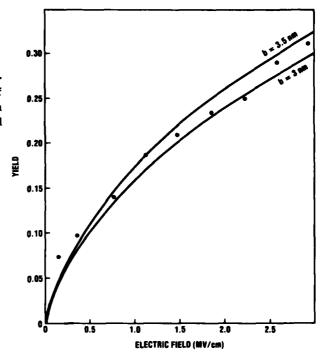


TABLE 5. SUMMARY OF FINITE DIFFERENCE CALCULATION RESULTS

Unit	Normal field (MV/cm)	Total field (MV/cm)	Time (s)	$\int_0^T N(t) dt$	Fractional yield
a particle,	0.1	0.14	4.73 × 10 <sup>-12</sup>	9.17 × 10 <sup>-6</sup>	0.0146
45 deg	0.2	0.28	$2.36 \times 10^{-12}$	7.71 × 10 <sup>-6</sup>	0.0246
	0.3	0.42	1.58 × 10 <sup>-12</sup>	7.01 × 10 <sup>-6</sup>	0.0334
	0.5	0.71	$9.45 \times 10^{-13}$	6.14 × 10 <sup>-6</sup>	0.0489
	0.7	0.99	$6.75 \times 10^{-13}$	5.59 × 10 <sup>-6</sup>	0.0623
	1.0	1.41	$4.73 \times 10^{-13}$	5.06 × 10 <sup>-6</sup>	0.0804
	1.5	2.12	3.15 × 10 <sup>-13</sup>	4.42 × 10 <sup>-6</sup>	0.1055
	2.0	2.83	2.36 × 10 <sup>-13</sup>	4.01 × 10 <sup>-6</sup>	0.1278
	3.0	4.24	1.58 × 10 <sup>-13</sup>	3.49 × 10 <sup>-6</sup>	0.1661
a particle,	0.1	0.94	3.59 × 10 <sup>-13</sup>	0.996 × 10 <sup>-6</sup>	0.0208
6 deg	0.2	1.89	$1.79 \times 10^{-13}$	0.822 × 10 <sup>-6</sup>	0.0345
	0.3	2.83	1.19 × 10 <sup>-13</sup>	0.729 × 10 <sup>-6</sup>	0.0461
Proton,	0.1	0.14	4.73 × 10 <sup>-12</sup>	6.43 × 10 <sup>-6</sup>	0.0458
45 deg	0.2	0.28	2.36 × 10 <sup>-12</sup>	5.21 × 10 <sup>-6</sup>	0.0743
	0.3	0.42	1.58 × 10 <sup>-12</sup>	4.60 × 10 <sup>-6</sup>	0.0981
	0.5	0.71	9.45 × 10 <sup>-13</sup>	3.87 × 10 <sup>-6</sup>	0.1378
	0.7	0.99	6.75 × 10 <sup>-13</sup>	3.42 × 10 <sup>-6</sup>	0.1707
	1.0	1.41	$4.73 \times 10^{-13}$	2.98 × 10 <sup>-6</sup>	0.2125
	1.5	2.12	3.15 × 10 <sup>-13</sup>	2.50 × 10 <sup>-6</sup>	0.2677
	2.0	2.83	2.36 × 10 <sup>-13</sup>	2.19 × 10 <sup>-6</sup>	0.3130
	3.0	4.24	1.58 × 10 <sup>-13</sup>	1.80 × 10 <sup>-6</sup>	0.3830

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#### 5. DISCUSSION

This work shows that the Jaffé model can be used to calculate the ionization of  $SiO_2$  by heavy charged particles. The Jaffé model has two "free" parameters,  $N_0$  and b, but  $N_0$  can be determined independently. This work, in effect, fixes b for a particles and protons in  $SiO_2$  at  $\sim 3.5$  nm. In figure 35, the proton experimental data are plotted along with the calculations for b = 3 and 3.5 nm to give an idea of the sensitivity of the calculation to variation in b. Similarly, in figure 36, the 45-deg a particle data are replotted along with calculated yield curves for b = 3 and 4 nm as well as b = 3.5 nm. One can see that the b = 3.5  $\pm$  0.5 nm is a reasonable estimate of the error in the measurement of b.

One reasonably might ask why b should be in the range of 3 to 4 nm; a significant body of literature bears on the question. When a charged particle passes through a medium, the dominant energy loss mechanism is the production of plasmons, which subsequently decay to electronhole pairs. For SiO<sub>2</sub>, the plasmon energy is approximately

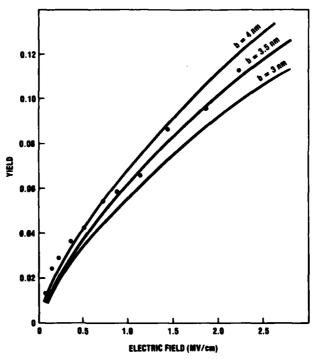


Figure 36. Comparison of α particle experiments with calculations for column radius, b, = 3, 3.5, and 4 nm (indicates how sensitive results are to variation in b).

22 eV ( $\omega_p^2 = 4\pi ne^2/m$ ), and the electron-hole pair energy is approximately 18 eV. $^{40}$  This 4 eV, which is lost in thermalization, apparently is lost through the emission of optical phonons having an energy on the order of 0.1 eV. $^{50-53}$  The mean free path for phonon emission by hot electrons is on the order of 0.1 nm. $^{54}$  In other words, the total path length is on the order of 4 nm (40 events that are 0.1 nm apart). But since the electron-hole pair is on a random walk, the effective thermalization radius is on the order of  $\sqrt{40}$  times 0.1 nm or perhaps 0.6 nm, clearly less than 3.5 nm. However, if one takes the uncertainty in the thermal energy of the charges to be on the order of kT, one can calculate  $(\Delta p)^2/2m \sim kT$  or  $\Delta p \sim 4 \times 10^{-21}$  g-cm/s. Then from the uncertainty principle,  $\Delta p\Delta x \geq h$  or  $\Delta x \geq 2.4$  nm. Of course, the uncertainty principle gives only an order of magnitude estimate, so one should probably conclude from the argument only that b will fall somewhere about  $10^{-6}$  or  $10^{-7}$  cm. Certainly, the experimentally determined value b = 3.5 nm is within this range.

Similarly, one can estimate the spatial extent of a plasmon. A plasmon is basically a k=0 excitation, except that the quantum mechanical uncertainty is  $k_{\min}^2=4\pi n e^2=m_e(22~eV)\cdot^{55}$  One can then calculate  $\Delta x_{\min}\sim 3\times 10^{-8}$  cm or roughly an order of magnitude less than the extent of the electron wave function. Thus, the extent of the cylinders of charge seems to be determined by the extent of the electron wave functions. In other words, the radius of the cylinders is zero except that, quantum mechanically, nothing is zero to arbitrary accuracy.

Actually, it is somewhat surprising that the analysis based on equation (15) works out as well as it does since the problem should really be treated quantum mechanically. Equation (15) is descended directly from the Boltzmann transport equation, which is usually described as semiclassical and which assumes, for example, that the duration of the collisions is small compared with the mean free time between collisions. If the field is taken to be  $10^6~\rm V/cm$ , then the drift velocity saturates at  $\sim 2 \times 10^7~\rm cm/s$ . If the mean free path is taken to be 0.1 nm again, then the mean free time is on the order of  $10^{-16}~\rm s$ . It is difficult to see how the scattering time could be negligibly small compared with  $10^{-16}~\rm s$ .

In addition, thermalization is assumed to be instantaneous, but the actual thermalization time is probably on the order of  $10^{-13}$  s. For times less than the thermalization time (that is, for hot electrons), D is probably larger than the value given below, and  $\mu$  is somewhat smaller because of carrier-to-carrier collisions.

In addition, plasma effects such as the shielding of external fields are neglected, although at very high densities ( $\sim 10^{20}$  cm<sup>-3</sup>) these effects should be significant.

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In spite of these shortcomings, the theory seems to work reasonably well at predicting the yield of charge in the limit as t becomes large. The main reason seems to be that the recombination proceeds very rapidly at early times. Most of the charge has already recombined before the electrons thermalize and before the external field has had much effect. The screening effects become less significant as the density decreases (that is, the assumptions of the theory become better very rapidly as time increases). One should also note that the final yield does not depend on the precise value of  $\mu$  since both D and  $\alpha$  are assumed proportional to  $\mu$ .

The classical theory used here consistently underestimates the yield when the normal component of the field is small, both in the 45-deg experiments and at 6 deg and for both  $\alpha$  particles and protons. A more complete theoretical treatment with a larger effective D to account for hot electron effects would probably improve the agreement with experiment.

A certain amount of work has been done to develop a full quantum mechanical treatment of transport in semiconductors \$56-58\$ and in dielectrics.\$1,59,60\$ However, the semiclassical model that we use here is empirically effective in explaining the experimental results, even though we are straining the assumptions on which the model rests. It is interesting how often a theoretically shaky semiclassical model gives physically reasonable results to quantum mechanical problems—the Rutherford scattering cross section for electrons and the Bohr atomic theory are two other examples.

The experiments and the analysis described above establish that the Jaffé model works reasonably well for  $\alpha$  particles and protons. One can easily use the model to calculate the ionization of  $\mathrm{SiO}_2$  by other particles or at other energies since these factors enter the calculation only through  $\mathrm{N}_0$ , the number of electron-hole pairs per unit path length. In table 6, we present calculated results for the cosmic ray nuclei  $^{12}\mathrm{C}$  and  $^{56}\mathrm{Fe}$  along with our typical  $\alpha$  particle and proton results. The energies chosen for  $^{12}\mathrm{C}$  and  $^{56}\mathrm{Fe}$  correspond to the maximum ionization for those particles, and the  $\alpha$  particle and proton energies are chosen to match our experimental conditions. One can see from table 6 that the initial ionization density for  $^{56}\mathrm{Fe}$  is roughly 25 times greater than for an  $\alpha$  particle. But the amount of charge that escapes recombination is only about twice as great for  $^{56}\mathrm{Fe}$ . The reason is that the recombination term,  $-\alpha n_+ n_-$ , is roughly 600 times greater for  $^{56}\mathrm{Fe}$  at first, and the extra free charge is eliminated rapidly.

TABLE 6. CALCULATED RESULTS FOR PROTONS,  $\alpha$  PARTICLES,  $^{12}$ C, and  $^{56}$ Fe

Particle	Particle energy, E (MeV)	Energy loss, dB/dx (MeV/g/cm <sup>2</sup> )	Electron hole (pairs/cm)	Fractional yield (field = 1.4 MV/cm)	Yield (holes/cm)
1 <sub>H</sub>	0.7	243	2.97 × 10 <sup>7</sup>	0.2120	6,30 × 10 <sup>6</sup>
<sup>4</sup> He	2.0	1,088	1.33 × 10 <sup>8</sup>	0.0885	1.18 × 10 <sup>7</sup>
<sup>12</sup> C	4.0	5,270	6.44 × 10 <sup>8</sup>	0.0242	1.56 × 10 <sup>7</sup>
56 <sub>Fe</sub>	100	30,680	3.75 × 10 <sup>9</sup>	0.0060	2.25 × 10 <sup>7</sup>

# 6. IMPLICATIONS FOR MICROELECTRONIC DEVICES

The possibility of permanent failures of electronic devices from single particles is a timely question in view of the current interest in temporary upsets.  $^{1-5}$  One might reasonably ask how small the devices would have to be before permanent errors would be observed. Recently, Srour et al  $^{6\,l}$  considered the possibility that a single neutron might cause enough displacement damage to ruin a submicrometer device. In addition, Oldham and McGarrity  $^{6\,2}$  have presented a worst case calculation indicating that a single  $\alpha$  particle might cause enough ionization to cause a device to fail.

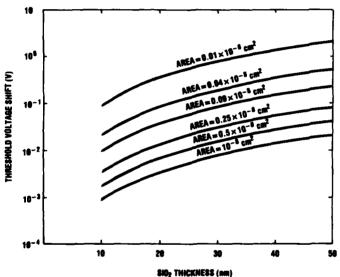
This worst case analysis rests on the assumptions that (1) recombination can be neglected and (2) all the charge reaching the interface is trapped there. The assumption of 100-percent trapping is reasonable in some cases,  $^{63}$  but the assumption of 100-percent yield is clearly unjustified in view of the experimental results presented above. For 100-percent yield, the device discussed by Dennard et al  $^{64}$  in their well-known scaling law paper (1  $\times$  1  $\mu m$  with 35-nm-thick SiO2) would exhibit a threshold voltage shift ( $\Delta V_T$ ) of about 100 mV after being struck by a 2-MeV  $\alpha$  particle. However, if recombination were taken into account, the yield would be only 6 to 10 percent in the field range of 1 to 2 MV/cm, and  $\Delta V_T$  would be only about 6 to 10 mV. Many present day circuits fail when  $\Delta V_T$  = 100 mV, but they can withstand  $\Delta V_T$  = 10 mV.

One can calculate  $\Delta V_T$  as a function of device dimensions starting from equation (6). If the total charge produced by an ionizing particle is given by equation (6), then the charge escaping recombination is  $Q=f(E)Q_0$ , as before, and the charge trapped at the interface is  $f_Tf(E)Q_0$ , where  $f_T$  is the fraction of holes that reach the Si-SiO2 interface and are trapped there. Then

$$\Delta V_{T} = \frac{O}{C_{OX}} = 3.65 \times 10^{-8} \frac{\ell_{OX}^{2}}{A} \left| \frac{\partial E}{\partial x} \right| f_{T}f(E) \frac{\text{volts-cm}}{\text{eV}} , \qquad (28)$$

where A is the active area of the device. If we assume a 2-MeV  $\alpha$  particle with f(E) = 10 percent (that is, E  $\simeq$  2 MV/cm) and  $f_T$  = 100 percent, we can plot equation (28) for devices of different areas as a function of  $SiO_2$  thickness with the results shown in figure 37. The results in this plot do not include short channel radiation effects,  $^{65}$  which are poorly understood but which may be important. In considering figure 37, one should remember that voltages are scaled down somewhat as devices are reduced in size. For this reason, tolerable  $\Delta V_T$  also will tend to shrink in the future. On the other hand, we have assumed  $f_T$  = 100 percent, which is realistic only for unhardened commercial  $SiO_2$ . For hardened  $SiO_2$ , the trapping fraction can be reduced by a factor of 10 or perhaps more, and  $\Delta V_T$  can be reduced by the same factor.

Actually, the calculation of  $\Delta V_{\rm T}$  in figure 37 is misleading because we are dividing total trapped charge by total capacitance. In effect, we are assuming that the charge will be trapped uniformly across the active area of the device, but one would really expect a nonuniform spatial distribution of charge as indicated schematically in figure 38.



Calculated threshold Figure 37. voltage shift for various small area devices as function of SiO2 (This calculation thickness. assumes 2-MeV a particle incident at 45 deg and 10-percent yield; that is, applied field ≈ 2 MV/cm. For lower fields or for other particles [protons] or other energies, threshold voltage shift is smaller than shown here.)

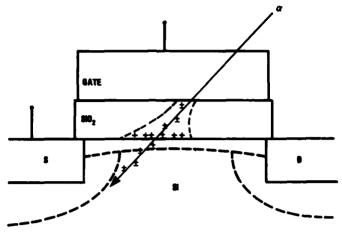


Figure 38. Schematic of MOSFET struck by  $\alpha$  particle.

One can do a fairly simple "particle pushing" calculation to estimate the spatial distribution of the charges as they arrive at the We distribute randomly the correct number of positive interface. charges in approximately the correct volume and calculate the coulomb repulsion between each pair of charges. Then following the hole transport model of McLean, 37 we let each hole hop 1 nm parallel to the total field that it sees. Then we stop the particles, recalculate the fields, and let the charges hop again. This process is continued until all the charges are trapped at the interface. The charges generated near the interface reach it first and are trapped near the exit point of the ionizing particle from the SiO2. As these charges build up, they generate a field that tends to cancel the applied field. arriving later have to spread from the particle track until they are outside the central space charge region, and only then do they move to the interface under the influence of the applied bias.

This process is indicated schematically in figure 39 for an obliquely incident particle. The innermost zone "fills up" first and, as time passes, the remaining zones fill up one at a time in order of increasing distance from where the particle hit, r.

Figure 40 shows the results for an  $\alpha$  particle normally incident on SiO<sub>2</sub> 100 nm thick, where the applied field is  $10^6$  V/cm and recombination is neglected. Even though the density at r < 10 nm is very high, the total charge in the first zone is only 53 holes in this example. These 53 holes represent a density greater than  $10^{13}$  charges/cm<sup>2</sup>, corresponding to an immense field. These holes were created very near the interface, and the field that they generate prevents any more charges from transporting into the r < 10 nm zone. Charges arriving later at the interface have to move out to large r before the applied field can drive them to the interface.

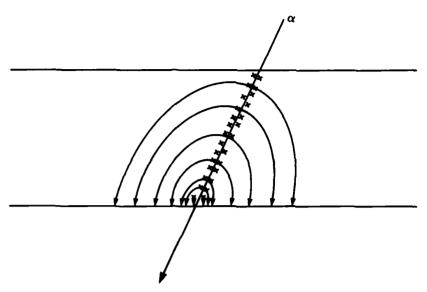


Figure 39. Qualitative illustration of path followed by holes hopping to interface in particle pushing calculation.

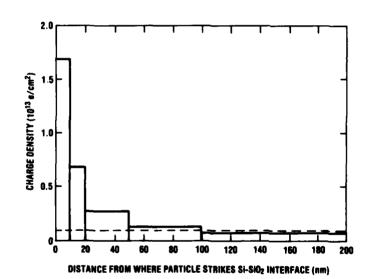


Figure 40. Charge density at interface, assuming normally incident 2-MeV  $\alpha$  particle, 100-nm-thick  $\mathrm{SiO}_2$ , applied field =  $10^6$  V/cm, and 100-percent yield. (Dashed line shows average [mean] charge density.)

The charge distribution that results from a calculation of this kind depends greatly on the yield. In figures 41 and 42, results are given for  $\ell_{\rm OX}=20$  nm, where the field is  $10^6$  V/cm. The  $\alpha$  particle is incident above the origin and exits at x = 20 nm and y = 0. The figures are what one would see looking down from above on the interface, where each dot represents a trapped hole. In figure 41 the yield is 100 percent, but in figure 42 it is only 10 percent. The maximum density is much greater for the higher yield, and the size of the "footprint" also is much greater.

A more realistic case for electronic devices today and in the near future is shown in figure 43. The splatter pattern in figure 43 is for an  $\alpha$  particle incident at 45 deg on 50-nm  $\mathrm{SiO}_2$ , where E =  $10^6$  V/cm and the yield is assumed to be 10 percent. As before, the particle is incident above the origin, and the exit point is x = 50 nm and y = 0. One can see that the footprint is on the order of 100 nm in diameter, which is much less than the size of a 1-µm device or even a 0.5-µm device. If one imagines this charge distribution placed in a 0.5-µm channel, one can calculate a local flatband voltage shift from the local charge density. There would be a large local shift, but most of the channel would not be affected at all. This result is illustrated in figure 44.

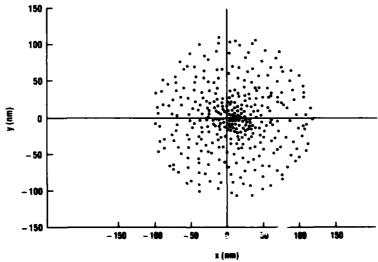


Figure 41. Charge density at interface, assuming that 2-MeV  $\alpha$  particle struck 20-nm-thick  $SiO_2$  at 45 deg with applied field =  $10^6$  V/cm and 100-percent yield. (Each dot represents one trapped hole. Figure shows distribution of holes that one would see looking down from above  $Si-SiO_2$  interface.)

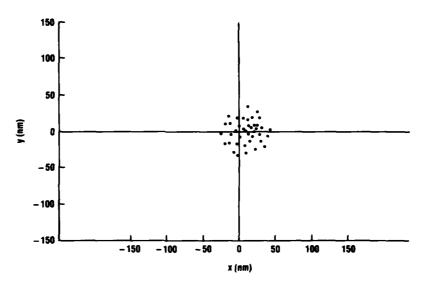


Figure 42. Charge density at interface, assuming that 2-MeV  $\alpha$  particle struck 20-nm-thick SiO<sub>2</sub> at 45 deg with applied field =  $10^6$  V/cm and 10-percent yield. (Each dot represents one trapped hole. Figure shows how spot size depends on yield.)

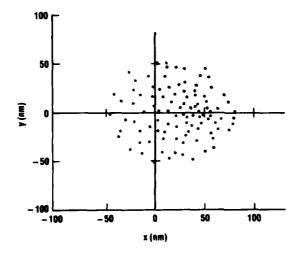


Figure 43.

Charge density at interface, assuming that 2-MeV  $\alpha$  particle struck 50-nm-thick  $\mathrm{SiO}_2$  at 45 deg with applied field =  $10^6$  V/cm and 10-percent yield. (Each dot represents one trapped hole.  $\mathrm{SiO}_2$  thickness is more nearly typical of present day devices.)

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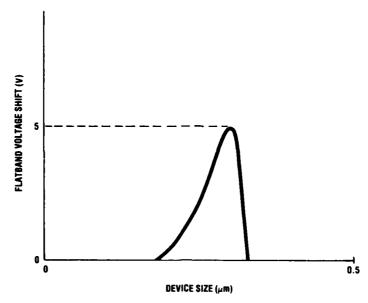


Figure 44. Local flatband voltage shift caused by placing charge distribution in figure 43 in middle of device with 0.5-µm channel length.

Thus, it seems unlikely that a single charged particle will cause a threshold voltage shift large enough to cause a total dose failure in a device, at least until devices are scaled down well below 1 µm. However, the small charge blobs such as in figure 43 could cause unforeseen reliability or stability problems such as punch through or increased hot electron injection. One should remember that highly integrated circuits are increasingly difficult to design and build even in the absence of radiation. Adding a few unexpected blobs of charge to these circuits is a little bit like throwing a handful of sand into a jet engine. The effects are difficult to predict, but they cannot possibly be beneficial.

# 7. SUMMARY AND CONCLUSIONS

We have measured the recombination of charge produced in  $SiO_2$  by two kinds of heavy charged particles,  $\alpha$  particles and protons. The fraction of charge that escapes recombination is relatively small at fields on the order of 1 to 2 MV/cm. The yield for  $\alpha$  particles is 10 percent or less, and the yield for protons is 25 percent or less. On the other hand, for relativistic electrons the yield is 90 percent or more at these fields.

The results of these experiments are explained reasonably well by the Jaffé columnar recombination model when a column radius of 3.5 nm is assumed. The Jaffé model is well known, but the column radius for SiO<sub>2</sub> had not previously been determined. Since the column radius is presumably a property of the material, the Jaffé model can now be used to calculate the yield of charge for other particles and other energies.

Finally, the implications of the charge yield measurements for the operation of microelectronic devices are considered. The charge that is produced by an  $\alpha$  particle and escapes recombination is unlikely to cause a total dose failure in a device until the devices are much smaller than 1  $\mu m$ . However, the circuits are complicated enough that the charge from a single  $\alpha$  particle could make it more difficult to solve some reliability problems.



#### **ACKNOWLEDGEMENTS**

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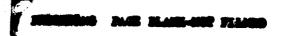
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# APPENDIX A.--FORTRAN LISTING OF THE FINITE DIFFERENCE CODE

The FORTRAN listing of the finite difference code is given below. Subroutine INIT initializes certain variables, subroutine INT integrates the total charge and updates the yield integral, subroutine LAPLAC calculates the Laplacian operator, subroutine DNDX calculates the derivatives  $\partial n/\partial x$ , subroutine DNDT calculates the derivatives  $\partial n/\partial t$ , subroutine NTKP1 calculates the new charge densities for the next cycle, and subroutine OUTPUT writes the results. The main program supervises the subroutines.

IMPLICIT REAL+8 (A-H,O-Z) COMMON X(.21), Y(101) , RHON(201,101), RHOP(201,101) COMMON D2RHON(201,101), D2RHOP(201,101), DDXRHN(201,101) COMMON DDXRHP(201,101), DNNDT(201,101), DNPDT(201,101), TPRINT(10) COMMON E, B, DN, DP, ALPHA, RHOL, UN, UP, TOTN, TOTP, DELTO, DELT, TRATIO COMMON T, DELX, DELY, YIELD, NPRINT, IPRINT, K COMMON NXMAX, NYMAX, NTMAX, NMAX, NXMXM1, NYMXM1, JMIN, JMAX, IFLAG CALL INIT CALL INT CALL OUTPUT DO 200 K=1,NTMAX CALL LAPLAC CALL DNDX CALL DNDT CALL NTKP1 CALL INT CALL DUTPUT T=T+DELT DELT=TRATIO+DELT IF (IFLAG.GT.NPRINT) GO TO 201 200 CONTINUE 201 STOP END



10 mg 17 mg 17 mg 18 mg

```
SUBROUTINE INIT
    IMPLICIT REAL*8 (A-H,O-Z)
    COMMON X(201), Y(101) , RHON(201,101), RHOP(201,101)
    COMMON DZRHON(201,101), DZRHOP(201,101), DDXRHN(201,101)
    COMMON UDXRHP(201,101), DNNDT(201,101), DNPDT(201,101), TPRINT(10)
    COMMON E, B, DN, DP, ALPHA, RHOL, UN, UP, TOTN, TOTP, DELTO, DELT, TRATIO
    COMMON T.DELX.DELY, YIELD, NPRINT, IPRINT, K
    COMMON NXMAX, NYMAX, NTMAX, NMAX, NXMXM1, NYMXM1, JMIN, JMAX, IFLAG
    READ (5,100) E,B,DN,DP,ALPHA,RHOL,UN,UP
    READ (5,100) DELX, DELY, DELTO, TRATIO
    READ (5,101) NXMAX, NYMAX, NTMAX, NPRINT, JMIN, JMAX
    READ (5,100) (TPRINT(I), I=1, NPRINT)
100 FORMAT (SD10.3)
101 FORMAT (8110)
    WRITE (6,102)
102 FORMAT (1H1)
    WRITE (6,103) E,8,DN,DP,UN,UP,ALPHA,RHOL
103 FORMAT ( E=1,010.3,5X, B= 1,010.3,5X, DN= 1,010.3,5X, DP= 1,
   X D10.3,5X, UN= ',D10.3,5X, UP= ',D10.3,5X,//, ALPHA= ',D10.3,
      5x, RHOL= +, D10.3)
   X
    WRITE (6,104) DELX, DELY, DELTO, TRATIO
104 FORMAT ( DELX= 1,D10.3,5X, DELY= 1,D10.3,5X, DELTO= 1,D10.3,5X,
       ! TRATIO=*,D10.3)
    WRITE (6,105) NXMAX, NYMAX, NTMAX, NPRINT, JMIN, JMAX
105 FORMAT ( NXMAX= 1,16,6X, NYMAX= 1,16,6X, NTMAX=1, 16,6X,
   X * NPRINT= *,16,6X, * JMIN= *,16,6X, *JMAX= *,16)
    WRITE (6,106) (TPRINT(I), I=1, NPRINT)
106 FORMAT ( * TPRINT= +,10(D10.3,2X))
    NMAX=2=NXMAX-1
    DO 200 I=1,NMAX
    X(I)=(I-NXMAX)+DELX
200 CONTINUE
    Y(1) = 0.00
    DO 203 J=2,NYMAX
    Y(J) = (J-1) + DELY
203 CONTINUE
    DO 201 J=I,NYMAX
    DO 201 I=1,NMAX
    RSQ=-(X(I)**2+Y(J)**2)/B**2
    IF (RSQ.LT.-100.D0) GO TO 204
    RHON(I_{+}J) = (RHOL/(3.14159*B*B))*DEXP(-(X(I)**2+Y(J)**2)/B**2)
    RHOP(I,J)=RHON(I,J)
    GO TO 205
204 RHON(I,J)=0.00
    RHOP(I,J)=G.DO
205 D2RHON(I,J)=0.D0
    D2RHOP(1,J)=0.D0
    DDXRHN(I,J)=0.D0
    DDXRHP(I,J)=C.DO
201 CONTINUE
    IFLAG=0
    IPRINT=0
    T=0.00
    DELT=DELTO
    YIELD =0.00
    RETURN
    END
```

```
SUBROUTINE LAPLAC
    IMPLICIT REAL+8 (A-H,O-Z)
    COMMON X(201), Y(101) , RHON(201,101), RHOP(201,101)
    COMMON D2RHON(201,101), D2RHOP(201,101), D0XRHN(201,101)
    COMMON DDXRHP(201,101), DNNDT(201,101), DNPDT(201,101), TPRINT(10)
    COMMON E, B, DN, DP, ALPHA, RHOL, UN, UP, TOTN, TOTP, DELTO, DELT, TRATIO
    COMMON T, DELX, DELY, YIELD, NPRINT, IPRINT, K
    COMMON NXMAX,NYMAX,NTMAX,NMAX,NXMXM1,NYMXM1,JMIN,JMAX,IFLAG
    NXMXM1=NMAX-1
    NYMXM1=NYMAX-1
    D2RHON(1,1)= (RHON(2,1)+RHON(1,2)-2*RHON(1,1))/DELX**2
    D2RHOP(1,1)= (RHOP(2,1)+RHOP(1 ,2)-2*RHOP(1,1))/DELX**2
    DO 200 J=2,NYMXM1
   D2RHON(1,J)=(RHON(2,J)+RHON(1,J-1)+RHON(1,J+1)-3*RHON(1,J))/
     DELX**2
    D2RHOP(1,J)=(RHOP(2,J)+RHOP(1 ,J-1)+RHOP(1,J+1)-3*RHOP(1,J))/
  X DELX**2
200 CONTINUE
   D2RHON(1,NYMAX)=(RHON(2,NYMAX)+RHON(1,NYMXM1)-2*RHON(1,NYMAX))/
     DELX**2
   D2kHOP(1.NYMAX) = (RHOP(2.NYMAX) + RHOP(1.NYMXM1) - 2*RHOP(1.NYMAX))/
     DELX**2
   DO 201 I=2 ,NXMXM1
   D2RHON(I,1) = (RHON(I+1,1) + RHON(I-1,1) + 2 + RHON(I,2) - 4 + RHON(I,1)) /
  X DELX**2
   D2RHOP(I,1)=(RHOP(I+1,1)+RHOP(I-1,1)+2*RHOP(I,2)-4*RHOP(I,1))/
  X DELX**2
   -(IMXMYN,I)MOHF+(XAMYN,I-)MOHF+(XAMYN,I+1)MOHF)=(XAMYN,I)MCHFSD
     3*RHOP(I,NYMAX))/DELX**2
   D2RHOP(I, NYMAX)=(RHOP(I+1, NYMAX)+RHOP(I-1, NYMAX)+RHOP(I, NYMXM1)-
   X 3+RHON(I.NYMAX))/DELX++2
201 CONTINUE
    DD 202 J=2,NYMXM1
    DO 202 I=2,NXMXM1
   D2RHON(I,J)=(RHON(I-1,J)+RHON(I+1,J)+RHON(I,J+1)+RHON(I,J-1)-
     4*RHON(I,J))/DELX**2
    D2RHOP(I,J)=(RHOP(I-1,J)+RHOP(I+1,J)+RHOP(I,J+1)+RHOP(I,J-1)-
     4*RHOP(I,J))/DELX++2
202 CONTINUE
   D2RHON( NMAX,1)=(RHON(NXMXM1,1)+RHON( NMAX,2)-2*RHON( NMAX,1))/
     DELX**2
   D2RHOP( NMAX,1)=(RHOP(NXMXM1,1)+RHOP( NMAX,2)-2+RHOP(NMAX, 1))/
  X DELX**2
    D2RHON( NMAX,NYMAX)=(RHON(NXMXM1,NYMAX)+RHON( NMAX,NYMXM1)-2*RHON
   X ( NMAX,NYMAX))/DELX+#2
    D2RHOP( NMAX,NYMAX)=(RHOP(NXMXM1,NYMAX)+RHOP( NMAX,NYMXM1)-2*RHOP
     C**XJ3C\((XAMYN,XAMN))
    DO 203 J=2,NYMXM1
   DZRHON( NMAX,J)= (RHON(NXMXM1,J)+RHON( NMAX,J-1)+RHON( NMAX,J+1)-
     3*RHON( NMAX,J))/DELX**2
   D2RHOP( NMAX,J)= (RHOP(NXMXM1,J)+RHOP( NMAX,J-1)+RHOP( NMAX,J+1)-
     3+RHOP(NMAX, J))/DELX++2
203 CONTINUE
    RETURN
    END
```

```
SUBROUTINE DNDX
    IMPLICIT RÉAL*6 (A-H,O-Z)
    COMMON X(201), Y(101), RHON(201,101), RHOP(201,101)
    COMMON D2RHON(201,101), D2RHDP(201,101), DDXRHN(201,101)
    COMMON DOXRHP(201,101), DNNDT(201,101), DNPDT(201,101), TPRINT(10)
    COMMON E, B, DN, DP, ALPHA, RHOL, UN, UP, TOTN, TOTP, DELTO, DELT, TRATIO
    COMMON T, DELX, DELY, YIELD, NPRINT, IPRINT, K
    COMMON NXMAX, NYMAX, NTMAX, NMAX, NAMXH1, NYMXM1, JMIN, JMAX, IFLAG
    DO 200 J=1,NYMAX
    DDXRHN(1,J) = (RHON(2,J) - RHON(1,J)) / DELX
    DDXRHP(1 ,J)=(RHOP(2,J)-RHOP(1,J))/DELX
    DDXRHN( NMAX,J)=(RHDN( NMAX,J)-RHON(NXMXM1,J))/DELX
    DDXRHP( NMAX, J) = (RHOP( NMAX, J) - RHOP(NXMXM1, J))/DELX
200 CONTINUE
    DO 202 J=1,NYMAX
    DO 201 I=2, NXMXM1
    DDXRHN(I,J)=(RHON(I+1,J)-RHON(I-1,J))/(2+DELX)
    DDXRHP(I+J) = (RHOP(I+1+J) - RHOP(I-1+J))/(2+DELX)
2G1 CONTINUE
202 CONTINUE
    RETURN
    END
```

```
SUBROUTINE DNDT
    IMPLICIT REAL+8 (A-H,O-Z)
    COMMON X(201), Y(101) , RHON(201,101), RHOP(201,101)
    COMMON D2RHON(201,101), D2RHOP(201,101), D9XRHN(201,101)
    COMMON DDXRHP(201,101), DNNDT(201,101), DNPDT(201,101), TPRINT(10)
    COMMON E, B, DN, DP, ALPHA, RHOL, UN, UP, TOTN, TOTP, DELTO, DELT, TRATIO
    COMMON T.DELX, DELY, YIELD, NPRINT, IPRINT, K
    COMMON NXMAX, NYMAX, NTMAX, NMAX, NXMXM1, NYMXM1, JMIN, JMAX, IFLAG
    DO 201 J=1+NYMAX
    DO 200 I=1,NMAX
   DNNOT(I,J)=DN+D2RHON(I,J)+UN+E+DDXRHN(I,J)-ALPHA+RHON(I,J)+
     RHOP(I,J)
   DNPDT(I,J)=DP*D2RHOP(I,J)~UP*E*DDXRHP(I,J)-ALPHA*RHON(I,J)*
   X RHOP(I,J)
200 CONTINUE
201 CONTINUE
    RETURN
    END
```

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SUBROUTINE NTKPI IMPLICIT REAL+8 (A-H,O-Z) COMMON X(201), Y(101) , RHON(201,101), RHOP(201,101) COMMON D2RHON(201,101), D2RHOP(201,101), DDXRHN(201,101) COMMON DDXRHP(201,101), DNNDT(201,101), DNPDT(201,101), TPRINT(10) COMMON E, B, DN, DP, ALPHA, RHUL, UN, UP, TOTN, TOTP, DELTO, DELT, TRATIO COMMON T.DELX.DELY.YIELD.NPRINT.PRINT.K COMMON NXMAX, NYMAX, NTMAX, NMAX, NXMXM1, NYMXM1, JMIN, JMAX, IFLAG DO 201 J=1,NYMAX DO 200 I=1, NMAX RHON(I,J) = RHON(I,J) + DNNDT(I,J) \* DELTRHOP(I,J)=RHOP(I,J)+DNPDT(I,J)+DELT200 CONTINUE 201 CONTINUE RETURN END

SUBROUTINE INT IMPLICIT REAL+8 (A-H,O-Z) COMMON X(201), Y(101) , RHON(2C1,101), RHOP(201,101) COMMON D2RHON(201,101), D2RHOP(201,101), DDXRHN(201,101) COMMON DDXRHP(201,101), DNNDT(201,101), DNPDT(201,101), TPRINT(10) COMMON E,B,DN,DP,ALPHA,RHDL,UN,UP,TOTN,TDTP,DELTO,DELT, TRATIO COMMON T, DELX, DELY, YIELD, NPRINT, IPRINT, K COMMON NXMAX, NYMAX, NTMAX, NMAX, NXMXM1, NYMXM1, JMIN, JMAX, IFLAG TOTN=0.DO TOTP=0.DO DO 200 I=1,NMAX TOTN= RHON(I,1) \*GELX\*DELY+TOTN TOTP= RHOP(I,1)\*DELX\*DELY+TOTP 200 CONTINUE XAMYN,S=L 105 CO DD 201 I=1.NMAX TOTN=2\*RHON(I,J)\*DELX\*DELY+TOTN TOTP=2\*RHOP(I,J)\*DELX\*DELY+TOTP 201 CONTINUE YIELD=YIELD+TOTP\*DELT **RETURN** END

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```
SUBROUTINE OUTPUT
    IMPLICIT REAL*8 (A-H.O-Z)
    COMMON X(201), Y(101) , RHON(201,101), RHOP(201,101)
COMMON DZRHON(201,101), DZRHOP(201,101), DDXRHN(201,101)
    COMMON DDXRHP(201,101), DNNDT(201,101), DNPDT(201,101), TPRINT(10) COMMON E,B,DN,DP,ALPHA,RHOL,UN,UP,TOTN,TOTP,DELTO,DELT, TRATIO
    COMMON T.DELX,DELY,YIELD,NPRINT,IPRINT,K
    COMMON NXMAX, NYMAX, NTMAX, NMAX, NXMXM1, NYMXM1, JMIN, JMAX, IFLAG
                      K,T,TOTN,TOTP,YIELD
    WRITE (6,101)
101 FORMAT ( CYCLE NO= "15,5x," T= ",010.3,5x," TOTN= ",010.3,
   X 5X, TOTP= ',D10.3,5X, YIELD= ',D10.3)
    IF (T.GE.TPRINT(IFLAG)) IPRINT=1
    IF (IPRINT.EQ.1) GO TO 201
GO TO 202
201 WRITE (6,102)
102 FORMAT (1H1)
    DO 203 I=1,NMAX
    WRITE (6,103) ((X(I),Y(J),RHON(I,J),RHOP(I,J)),J=JMIN,JMAX)
103 FORMAT ( * X=",D10.3,5X, * Y=",D10.3,5X, * N= *,D10.3,5X,
       * P= *,D10.3)
203 CONTINUE
    IPRINT=0
    IFLAG=IFLAG+1
202 RETURN
    END
```

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